

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002 OMB 0851-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
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Attorney Docket No.	V637-02992 US
First Inventor	Hoki Kwon
Title	CARBON DOPED GaAsSb SUITABLE, etc.
Express Mail Label No.	

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

- |    |   |   |                  |
|----|---|---|------------------|
| 1. | X | File Transmittal Form (e.g., PTO/517) <i>(Submit an original, and a duplicate for fee processing)</i> |                  |
| 2. |   | Applicant claims small entity status.   |                  |
| 3. | X | See 37 CFR 1.27   |                  |
| 3. | X | Specification   | [Total Pages] 16 |
- (preferred arrangement set forth below)*
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fee-Reduced R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on
- i. ☐ CD-ROM or CD-R (2 copies), or ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATIONS PARTS

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets
5. Oath or Declaration [Total Pages 

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(p)(2) and 1.53(b).

6. ☐ Application Data Sheet, See 37 CFR 1.76

- |     |   |  |  |
|-----|---|--|--|
| 9.  |   | Assignment Papers (cover sheet & document(s))  |  |
| 10. |   | 37 CFR 3.73(d) Statement<br>(when there is an assignee)  | <input type="checkbox"/> Power of Attorney       |
| 11. |   | English Translation Document (if applicable)   |  |
| 12. |   | Information Disclosure Statement (IDS) 37PTO-1449  | <input type="checkbox"/> Copies of IDS Citations |
| 13. |   | Preliminary Amendment  |  |
| 14. | X | Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |  |
| 15. |   | Certified Copy of Priority Document(s)<br>(if being properly claimed)  |  |
| 16. |   | Nonpublication Request under 35 U.S.C. 122 (b)(2)(B) if Applicant must attach form PTO/SB-35 or its equivalent |  |
| 17. | X | White Advance S.N. Request Card  |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requested information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:


Prior application information: Examiner

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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Name (Print/Type)	John M. Kelly	Registration No. (Attorney/Agent)	33,920
Signature		Date	February 21, 2002

DC:94781.1

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0351-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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<b>FEE TRANSMITTAL</b> <b>for FY 2002</b> <i>Patent fees are subject to annual revision.</i>		<i>Complete if Known</i>	
<input type="checkbox"/> Application claims small entity status. See 37 CFR 1.27		Application Number	Not Yet Assigned
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>740.00</b>		Filing Date	February 21, 2002
		First Named Inventor	Hoki Kwon
		Examiner Name	Not Yet Assigned
		Group Art Unit	N/A
		Attorney Docket No.	V637-02992 US

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																															
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		<b>3. 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late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	133	130	Non-English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	820*	112	820*	Requesting publication of S/R prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of S/R after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavailability		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	820	244	310	Plant issue fee		122	130	122	120	Payments to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17(i)		126	160	126	160	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		149	740	249	370	For each additional invention to be		170	740	270	370	Request for Continued Examination (RCE)		169	900	169	600	Request for expedited examination of a design application		Other fee (specify) _____						*Reduced by Basic Filing Fee Paid					
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SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Andrew A. Abeyta	Registration No.	39,582
Signature		Telephone	602-313-3345
		Date	February 21, 2002

10078473.022102

DC:94776.1